



Attorney's Docket No.: 003700.P002X

RECEIVED  
FEB -6 2001  
PATENT  
TC 2100 MAILROOM

Receipt  
#2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application of:	)	
	)	
Colleen A. Barton, et al.	)	Examiner: Not Assigned
	)	
Serial No.: 09/657,759	)	Art Unit: 2131
	)	
Filing Date: September 8, 2000	)	
	)	
For: METHOD AND LOGIC FOR	)	
CAPTURING AND ANALYZING	)	
CONDUIT DATA	)	
_____	)	

Assistant Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

The official Filing Receipt form PTO-103X incorrectly states the title of the above-referenced patent application as follows:

METHOD AND LOGIC FOR CAPTURING AND  
ANALYZING CONDUIT DATA

The title of the above-referenced patent application is as follows:

METHOD AND LOGIC FOR CAPTURING AND  
ANALYZING CONDUIT DATA

Enclosed is a copy of the official Filing Receipt form PTO-103X showing the incorrect title of the above-referenced patent application, a copy of the application cover sheet showing the correct title of the above-referenced patent application.



RECEIVED  
FEB -6 2001  
TC 2100 MAILROOM

Please have the official Filing Receipt changed to reflect the correct title of the above-referenced patent application, also please forward a corrected copy of the official Filing Receipt to the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11/28/00

  
\_\_\_\_\_  
Andre' L. Marais  
Under 37 CFR § 10.9(b)

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
  
(408) 720-8598

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on \_\_\_\_\_

November 28, 2000

Date of Deposit

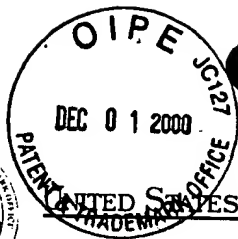
Geneva Walls

\_\_\_\_\_  
Name of Person Mailing Correspondence

  
\_\_\_\_\_  
Signature

11/28/00

\_\_\_\_\_  
Date



RECEIVED

FEB-6 2001

TC 2100 MAIL ROOM

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

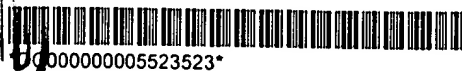
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/657,759	09/08/2000	2131	2904	003700.P002X	7	78	18

ALM

Andre L Marais  
Blakely Sokoloff Taylor & Safman LLP  
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026

RECEIVED  
NOV 06 2000

FILING RECEIPT



000000005523523\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Date Mailed: 11/01/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Colleen A. Barton, Portola Valley, CA ;  
Daniel Moos, Palo Alto, CA ;

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A CIP OF 09/340,216 06/25/1999

**Foreign Applications**

If Required, Foreign Filing License Granted 11/01/2000

**Title**

Method and logic for capturing and analyzing conduit data

**Preliminary Class**

713

Data entry by : BARNES, CAROL

Team : OIPE

Date: 11/01/2000



ENTERED

NOV 07 2000

STATUS DB-L

11/1/00



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED  
FEB -6 2001  
TC 2100 MAILROOM

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



RECEIVED  
FEB -6 2001  
TC 2100 MAILROOM

UNITED STATES PATENT APPLICATION  
FOR  
METHOD AND LOGIC FOR  
CAPTURING AND ANALYZING CONDUIT DATA

INVENTORS:

Colleen A. Barton

Daniel Moos

Prepared by:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD  
SEVENTH FLOOR  
LOS ANGELES, CALIFORNIA 90025  
(408) 720-8598

Attorney's Docket No. 003700.P002x

"Express Mail" mailing label number: EL627467699US

Date of Deposit: September 8, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Geneva Walls

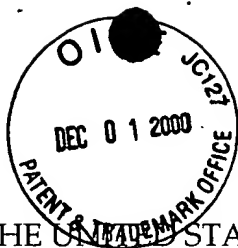
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

September 8, 2000

(Date signed)

003700.P002X



#2

RECEIVE

FEB -6 20

TC 2100 MAIL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

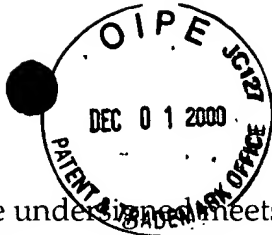
In re Application of:	)	
	)	
Colleen A. Barton, et al.	)	Examiner: Not Assigned
	)	
Serial No.: 09/657,759	)	Art Unit: 2131
	)	
Filing Date: September 8, 2000	)	
	)	
For: METHOD AND LOGIC FOR CAPTURING	)	
ANALYZING CONDUIT DATA	)	
	)	

Assistant Commissioner for Patents  
Washington, D.C. 20231

**LETTER REGARDING LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

The undersigned has been granted limited recognition under 37 CFR § 10.9(b) to prosecute patent applications in which the applicants are clients of the Blakely, Sokoloff, Taylor & Zafman LLP law firm, and wherein a registered practitioner who is a member of the Blakely, Sokoloff, Taylor & Zafman LLP law firm is the attorney or agent of record. In this regard, please see the attached copy of the original document in which this limited recognition is granted. In particular, please note that:

- (a) The undersigned is an employee of the Blakely, Sokoloff, Taylor & Zafman LLP law firm;
- (b) The above applicant is a client of the Blakely, Sokoloff, Taylor & Zafman LLP law firm;
- (c) A registered practitioner who is a member of the Blakely, Sokoloff, Taylor & Zafman LLP law firm is an attorney or agent of record in the above application;
- (d) The undersigned continues to lawfully reside in the United States on a H-1B visa; and
- (e) The undersigned has been appointed as an associate attorney in the above application.



Accordingly, the undersigned meets all requirements for limited recognition under § 10.9(b), and is thus permitted to prosecute the above patent application.

RECEIVED

FEB -6 2001

TC 2100 MAILROOM

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Date: 11/28/ 2000

Andre L. Marais

Under 37 CFR § 10.9(b)

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598

BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE

RECEIVED

FEB-6 2001

TC 2100 MAILROOM

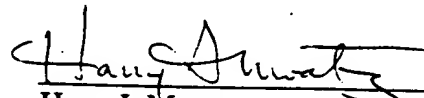


LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Andre L. Marais is hereby given an extension to a grant of limited recognition under 37 CFR § 10.9(b), as an employee of the Blakely, Sokoloff, Taylor & Zafman law firm, to prepare and prosecute patent applications and to represent patent applicants wherein the patent applicants are clients of the Blakely, Sokoloff, Taylor & Zafman law firm, and wherein a registered practitioner who is a member of the the Blakely, Sokoloff, Taylor & Zafman law firm, is the attorney of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Andre L. Marais ceases to lawfully reside in the United States, (ii) Andre L. Marais's employment with the Blakely, Sokoloff, Taylor & Zafman law firm ceases or is terminated, or (iii) Andre L. Marais ceases to remain or reside in the United States on an H1B1 visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: June 22, 2001

  
Harry I. Moatz  
Director of Enrollment and Discipline

**FILE COPY**

#2



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/657,759	<b>FILING DATE</b> 09/08/2000 <b>RULE</b> -	<b>CLASS</b> 713	<b>GROUP ART UNIT</b> 2131	<b>ATTORNEY DOCKET NO.</b> 003700.P002X
<b>APPLICANTS</b> Colleen A. Barton, Portola Valley, CA ; Daniel Moos, Palo Alto, CA ;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 09/340,216 06/25/1999 <i>AW</i>				
<b>** FOREIGN APPLICATIONS *****</b> <i>AW</i>				
<b>IF REQUIRED, FOREIGN FILING LICENSE</b> <b>GRANTED ** 11/01/2000</b> -				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Allowance <i>AW</i> Verified and Acknowledged <i>AW</i> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 7	<b>TOTAL CLAIMS</b> 78
				<b>INDEPENDENT CLAIMS</b> 18
<b>ADDRESS</b> Andre L Marais Blakely Sokoloff Taylor & Safman LLP 12400 Wilshire Boulevard Seventh Floor Los Angeles ,CA 90025-1026				
<b>TITLE</b> Method and logic for capturing and analyzing conduit data				
<b>FILING FEE RECEIVED</b> 2904	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	